

On finite W -algebras for Lie algebras and superalgebras

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Abstract. The finite W -algebras are certain associative algebras associated to a complex semi-simple or reductive Lie algebra \mathfrak{g} and a nilpotent element e of \mathfrak{g} . Due to recent results of I. Losev, A. Premet and others, finite W -algebras play a very important role in description of primitive ideals. In the full generality, the finite W -algebras were introduced by A. Premet. It is a result of B. Kostant that for a regular nilpotent (principal) element e , the finite W -algebra coincides with the center of $U(\mathfrak{g})$. Premet's definition makes sense for a simple Lie superalgebra $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ in the case when \mathfrak{g}_0 is reductive, \mathfrak{g} admits an invariant super-symmetric bilinear form, and e is an even nilpotent element. We show that certain results of A. Premet can be generalized for classical Lie superalgebras. We consider the case when e is an even regular nilpotent element. The associated finite W -algebra is called principal. Kostant's result does not hold in this case. This is joint work with V. Serganova.

1. Introduction

A *finite W -algebra* is certain associative algebra associated to a complex semi-simple Lie algebra \mathfrak{g} and a nilpotent element $e \in \mathfrak{g}$. It is a generalization of the universal enveloping algebra $U(\mathfrak{g})$.

The finite W -algebras are quantizations of Poisson algebras of functions on the Slodowy (i.e. transversal) slice at e to the adjoint orbit $Ad(G)e$, where $\mathfrak{g} = Lie(G)$ [Pr1]. Due to recent results of I. Losev, A. Premet and others, finite W -algebras play a very important role in description of primitive ideals [L2, L3, Pr2, Pr3].

The key ideas for finite W -algebras appeared in the study of classical and quantum affine W -algebras [B, F1, F2]. In the full generality, the finite W -algebras for semi-simple Lie algebras were introduced by A. Premet [Pr1]. The construction of finite W -algebras is based on the study of Whittaker vectors and Whittaker modules in the famous work of B. Kostant [Ko].

This survey was presented during the 3rd Graduate Research Conference in Algebra and Representation Theory at Kansas State University.

Finite W -algebras for Lie algebras have been extensively studied by I. Losev, V. Ginzburg, W. L. Gan, J. Brundan, S. Goodwin, A. Kleshchev, W. Wang and other mathematicians and physicists [L1, BG, GG, BK1, BK2, W].

In Section 2 we discuss Dynkin and good Z -gradings of \mathfrak{g} . In Sections 3-5 we review various equivalent definitions of finite W -algebra for \mathfrak{g} . In Section 6 we describe Kazhdan filtration on finite W -algebras. In Sections 7 and 8 we give examples of the principal finite W -algebra for $\mathfrak{g} = \mathfrak{gl}(2)$ and $\mathfrak{gl}(n)$.

In Sections 9-11 we recall the definition of a Lie superalgebra, main examples and classification of simple finite-dimensional Lie superalgebras. In Section 12 we review the definition of finite W -algebras for Lie superalgebras. In Section 13 we recall the notion of defect of a basic Lie superalgebra. In section 14 we describe the principal finite W -algebras for Lie superalgebras of type I and defect one. In Section 15 we outline the case when $\mathfrak{g} = \mathfrak{gl}(n|n)$. J. Brown, J. Brundan and S. Goodwin have recently described the principal finite W -algebra of the Lie superalgebra $\mathfrak{gl}(m|n)$ as a certain truncation of a shifted version of the super-Yangian of $\mathfrak{gl}(1|1)$. In Section 16 we review the notion the super-Yangian of $\mathfrak{gl}(m|n)$. In sections 17 and 18 we consider the case when $\mathfrak{g} = Q(n)$. We describe the principal finite W -algebra for $Q(n)$ in terms of generators and relations, and show that it is isomorphic to a factor algebra of the super-Yangian of $Q(1)$. In Section 19 we describe the principal finite W -algebras for the family of simple exceptional Lie superalgebras $\Gamma(\sigma_1, \sigma_2, \sigma_3)$. In Sections 20 and 21 we describe the principal finite W -algebra for $\mathfrak{osp}(1|2)$ and obtain partial results and formulate a conjecture for $\mathfrak{osp}(1|2n)$.

2. Preliminaries

Let \mathfrak{g} be a finite-dimensional semi-simple or reductive Lie algebra over \mathbb{C} and $(\cdot|\cdot)$ be a non-degenerate invariant symmetric bilinear form on \mathfrak{g} .

Definition 2.1. A bilinear form $(\cdot|\cdot)$ on \mathfrak{g} is \mathfrak{g} -invariant if

$$([x, y] | z) = (x | [y, z]) \quad \text{for all } x, y, z \in \mathfrak{g}.$$

Definition 2.2. Adjoint representation of \mathfrak{g} .

For any $x \in \mathfrak{g}$, $ad(x)$ is the endomorphism of \mathfrak{g} defined as follows:

$$ad(x)(y) = [x, y] \text{ for all } y \in \mathfrak{g}.$$

Definition 2.3. An element $e \in \mathfrak{g}$ is called nilpotent if $ad(e)$ is a nilpotent endomorphism of \mathfrak{g} .

Example 2.1. $\mathfrak{g} = \mathfrak{gl}(n)$, $(a|b) = tr(ab)$.

$e \in \mathfrak{gl}(n)$ is nilpotent if and only if e is an $n \times n$ -matrix with eigenvalues zero.

Definition 2.4. A nilpotent element $e \in \mathfrak{g}$ is regular nilpotent if $\mathfrak{g}^e := \text{Ker}(ad(e))$ attains the minimal dimension, which is equal to the rank of \mathfrak{g} .

Example 2.2. $\mathfrak{g} = \mathfrak{gl}(n)$.

$$e = J_n = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \quad \text{is a regular nilpotent element.}$$

$$\mathfrak{g}^e = \langle e, e^2, \dots, e^{n-1}, z \rangle, \quad z = \langle 1_n \rangle, \quad \dim \mathfrak{g}^e = n.$$

Theorem 2.1. Jacobson-Morozov [C].

Associated to a nonzero nilpotent element $e \in \mathfrak{g}$, there always exists an $\mathfrak{sl}(2)$ -triple $\{e, h, f\}$ which satisfies

$$[e, f] = h, \quad [h, e] = 2e, \quad [h, f] = -2f.$$

Proof. Induction on $\dim \mathfrak{g}$.

Example 2.3. $\mathfrak{g} = \mathfrak{gl}(n)$, $e = J_n$. Let $h = \text{diag}(n-1, n-3, \dots, 3-n, 1-n)$ and

$$f = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ a_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & a_2 & 0 & \cdots & 0 & 0 \\ 0 & 0 & \cdots & 0 & 0 & 0 \\ 0 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 0 & 0 & a_{n-1} & 0 \end{pmatrix}, \quad \text{where } a_i = i(n-i) \text{ for } 1 \leq i \leq n-1.$$

Then e, h and f span an $\mathfrak{sl}(2)$.

3. \mathbb{Z} -gradings

Definition 3.1. A Lie algebra \mathfrak{g} is \mathbb{Z} -graded if

$$\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j, \quad [\mathfrak{g}_j, \mathfrak{g}_k] \subseteq \mathfrak{g}_{j+k}.$$

Definition 3.2. A Dynkin \mathbb{Z} -grading.

Let $\mathfrak{sl}(2) = \langle e, h, f \rangle$. The eigenspace decomposition of the adjoint action

$$ad(h) : \mathfrak{g} \longrightarrow \mathfrak{g}$$

provides a \mathbb{Z} -grading: $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$, where $\mathfrak{g}_j = \{x \in \mathfrak{g} \mid ad(h)(x) = jx\}$.

A Dynkin \mathbb{Z} -grading satisfies the following properties:

- (1) $e \in \mathfrak{g}_2$,
- (2) $ad(e) : \mathfrak{g}_j \longrightarrow \mathfrak{g}_{j+2}$ is injective for $j \leq -1$,
- (3) $ad(e) : \mathfrak{g}_j \longrightarrow \mathfrak{g}_{j+2}$ is surjective for $j \geq -1$,
- (4) $\mathfrak{g}^e \subset \bigoplus_{j \geq 0} \mathfrak{g}_j$,
- (5) $(\mathfrak{g}_i | \mathfrak{g}_j) = 0$ unless $i + j = 0$,
- (6) $\dim \mathfrak{g}^e = \dim \mathfrak{g}_0 + \dim \mathfrak{g}_1$.

Proof. (1) follows from the definition of $sl(2)$, (2) and (3) follow from the theory of finite-dimensional irreducible $sl(2)$ -modules, (4), (5) and (6) are easy to prove. We will show that these properties are valid for more general type of \mathbb{Z} -gradings, called good \mathbb{Z} -gradings.

Definition 3.3. A good \mathbb{Z} -grading.

A \mathbb{Z} -grading $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$ for a semi-simple Lie algebra \mathfrak{g} is good for e , if it satisfies the conditions (1)-(3).

For a reductive \mathfrak{g} , there is an additional condition: the center of \mathfrak{g} is in \mathfrak{g}_0 . Note that good \mathbb{Z} -gradings of simple finite-dimensional Lie algebras over an algebraically closed field of characteristic zero were classified in [EK].

Proposition 3.1. Properties (4)-(6) remain to be valid for every good \mathbb{Z} -grading of \mathfrak{g} .

Proof. (see [W])

(4) \mathfrak{g}^e is a \mathbb{Z} -graded Lie subalgebra of \mathfrak{g} , and (4) follows from (2).

(5) For any \mathbb{Z} -grading $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$ there exists a semi-simple element $h \in [\mathfrak{g}, \mathfrak{g}]$ such that

$$\mathfrak{g}_j = \{x \in \mathfrak{g} \mid [h, x] = jx\}.$$

Let $\partial : \mathfrak{g} \longrightarrow \mathfrak{g}$ be the degree operator:

$$\partial(x) := jx \text{ for } x \in \mathfrak{g}_j,$$

then ∂ is a derivation of the semi-simple Lie algebra $[\mathfrak{g}, \mathfrak{g}]$, hence ∂ is an inner derivation of $[\mathfrak{g}, \mathfrak{g}]$, given by $ad(h)$ for some semi-simple element $h \in [\mathfrak{g}, \mathfrak{g}]$. Then $\partial = ad(h)$ as derivations of $[\mathfrak{g}, \mathfrak{g}] \oplus \text{center}(\mathfrak{g})$, since the equality hold on the center(\mathfrak{g}) too. For $x \in \mathfrak{g}_i, y \in \mathfrak{g}_j$, we have

$$-i(x|y) = ([x, h]|y) = (x|[h, y]) = j(x|y).$$

Then (5) holds.

(6) From (2) and (3),

$$ad(e) : \mathfrak{g}_{-1} \longrightarrow \mathfrak{g}_1 \text{ is a bijection.}$$

An exact sequence of vector spaces

$$0 \longrightarrow \mathfrak{g}^e \longrightarrow \mathfrak{g}_{-1} \oplus \mathfrak{g}_0 \oplus (\oplus_{j>0} \mathfrak{g}_j) \xrightarrow{ad(e)} \oplus_{j>0} \mathfrak{g}_j \longrightarrow 0$$

is well-defined by (2) and (3). Then (6) holds.

□

Example 3.1. Associated to $e = 0$, we have a good \mathbb{Z} -grading with $\mathfrak{g}_0 = \mathfrak{g}$.

Exercise 1. Properties (2) and (3) are equivalent for any \mathbb{Z} -grading $\mathfrak{g} = \oplus_{j \in \mathbb{Z}} \mathfrak{g}_j$.

Remark 3.1. Obviously, if a \mathbb{Z} -grading is Dynkin, then it is a good \mathbb{Z} -grading. However, not every good \mathbb{Z} -grading is Dynkin.

Example 3.2. $\mathfrak{g} = \mathfrak{gl}(3)$

$$e = E_{13} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad h = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \quad f = E_{31} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

Note that e is a non-regular nilpotent element, $\mathfrak{sl}(2) = \langle e, h, f \rangle$ defines a Dynkin \mathbb{Z} -grading of $\mathfrak{gl}(3)$, whose degrees on the elementary matrices E_{ij} are

$$\begin{pmatrix} 0 & 1 & 2 \\ -1 & 0 & 1 \\ -2 & -1 & 0 \end{pmatrix}.$$

$$\dim(\mathfrak{g}^e) = \dim \mathfrak{g}_0 + \dim \mathfrak{g}_1 = 5, \quad \mathfrak{g}^e = \langle E_{11} + E_{33}, E_{22}, E_{12}, E_{23}, E_{13} \rangle.$$

Example 3.3. $\mathfrak{g} = \mathfrak{gl}(3)$.

$$e = E_{13} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad h = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}.$$

h defines a good but non-Dynkin \mathbb{Z} -grading for e , whose degrees on the elementary matrices E_{ij} are

$$\begin{pmatrix} 0 & 0 & 2 \\ 0 & 0 & 2 \\ -2 & -2 & 0 \end{pmatrix}.$$

4. Definition of finite W -algebras

Let \mathfrak{g} be a reductive Lie algebra, $(\cdot|\cdot)$ be a non-degenerate invariant symmetric bilinear form, e be a nilpotent element. Let $\mathfrak{g} = \oplus_{j \in \mathbb{Z}} \mathfrak{g}_j$ be a good \mathbb{Z} -grading for e . Let $\chi \in \mathfrak{g}^*$ be defined as follows: $\chi(x) := (x|e)$ for $x \in \mathfrak{g}$.

Define a bilinear form on \mathfrak{g}_{-1} by

$$(x, y) := ([x, y] | e) = \chi([x, y]) \text{ for } x, y \in \mathfrak{g}_{-1}.$$

Remark 4.1. *The bilinear form on \mathfrak{g}_{-1} is skew-symmetric and non-degenerate.*

Proof. The skew-symmetry follows by definition.

The non-degeneracy follows from the bijection

$$ad(e) : \mathfrak{g}_{-1} \longrightarrow \mathfrak{g}_1$$

and the identity

$$(x, y) = ([x, y] | e) = (x | [y, e]).$$

□

Hence $\dim \mathfrak{g}_{-1}$ is even. Pick a Lagrangian (i.e. a maximal isotropic) subspace \mathfrak{l} of \mathfrak{g}_{-1} with respect to the form (\cdot, \cdot) . Then $\dim \mathfrak{l} = \frac{1}{2} \dim \mathfrak{g}_{-1}$.

Let $\mathfrak{m} = (\oplus_{j \leq -2} \mathfrak{g}_j) \oplus \mathfrak{l}$. Note that \mathfrak{m} is a nilpotent subalgebra of \mathfrak{g} . The restriction of χ to \mathfrak{m}

$$\chi : \mathfrak{m} \longrightarrow \mathbb{C}$$

defines a one-dimensional representation $\mathbb{C}_\chi = \langle v \rangle$ of \mathfrak{m} . In fact, if $x \in \mathfrak{g}_i$, $y \in \mathfrak{g}_j$ and $i \leq -2$ or $j \leq -2$, then $\chi([x, y]) = 0$ by Proposition 1. If $x, y \in \mathfrak{l} \subset \mathfrak{g}_{-1}$, we have that $\chi([x, y]) = (x, y) = 0$ thanks to the Lagrangian condition on \mathfrak{l} .

Let I_χ be the left ideal of $U(\mathfrak{g})$ generated by $a - \chi(a)$ for all $a \in \mathfrak{m}$.

Definition 4.1. *The generalized Whittaker module is*

$$Q_\chi := U(\mathfrak{g}) \otimes_{U(\mathfrak{m})} \mathbb{C}_\chi \cong U(\mathfrak{g})/I_\chi.$$

Definition 4.2. [Pr1]. *The finite W-algebra associated to the nilpotent element e is*

$$W_\chi := \text{End}_{U(\mathfrak{g})}(Q_\chi)^{op}.$$

Example 4.1. *Let $e = 0$. Then $\chi = 0$, $\mathfrak{g}_0 = \mathfrak{g}$, $\mathfrak{m} = 0$,*

$$Q_\chi = U(\mathfrak{g}), \quad W_\chi = U(\mathfrak{g}).$$

Theorem 4.1. *B. Kostant (1978) [Ko].*

For a regular nilpotent element $e \in \mathfrak{g}$, $W_\chi \cong Z(\mathfrak{g})$, the center of $U(\mathfrak{g})$.

Remark 4.2. *The isoclasses of finite W-algebras do not depend on good \mathbb{Z} -grading ([BG]) and Lagrangian subspace \mathfrak{l} ([GG]).*

5. The Whittaker model definition of finite W -algebras

We have given endomorphism algebra definition of W_χ . Note that W_χ can also be identified as the space of Whittaker vectors in $U(\mathfrak{g})/I_\chi$.

Definition 5.1. A \mathfrak{g} -module L is called a Whittaker module if $a - \chi(a)$ for all $a \in \mathfrak{m}$ acts on L locally nilpotently. A Whittaker vector in a Whittaker \mathfrak{g} -module L is a vector $x \in L$ which satisfies $(a - \chi(a))x = 0$ for all $a \in \mathfrak{m}$.

Proposition 5.1. (see [W]). Let $\pi : U(\mathfrak{g}) \rightarrow U(\mathfrak{g})/I_\chi$ be the natural projection, and let $y \in U(\mathfrak{g})$. Then

$$W_\chi = \{\pi(y) \in U(\mathfrak{g})/I_\chi \mid (a - \chi(a))y \in I_\chi \text{ for all } a \in \mathfrak{m}\}.$$

Proof. Since $Q_\chi = U(\mathfrak{g})/I_\chi$ is a cyclic module, then any endomorphism of the \mathfrak{g} -module Q_χ is determined by the image of v . The image of v must be annihilated by I_χ . Hence W_χ can be identified as the space of Whittaker vectors in $U(\mathfrak{g})/I_\chi$. □

By definition of I_χ , W_χ can be further identified with the subspace of ad \mathfrak{m} -invariants in Q_χ :

$$W_\chi = (Q_\chi)^{adm} := \{\pi(y) \in U(\mathfrak{g})/I_\chi \mid [a, y] \in I_\chi \text{ for all } a \in \mathfrak{m}\}. \tag{1}$$

The algebra structure on W_χ is given by

$$\pi(y_1)\pi(y_2) = \pi(y_1y_2)$$

for $y_i \in U(\mathfrak{g})$ such that $[a, y_i] \in I_\chi$ for all $a \in \mathfrak{m}$ and $i = 1, 2$.

Exercise 2. Check directly that

(1) The ideal I_χ is ad \mathfrak{m} -invariant, hence $(Q_\chi)^{adm}$ as a vector space is well-defined.

(2) For y_i satisfying $[a, y_i] \in I_\chi$ for all $a \in \mathfrak{m}$ and $i = 1, 2$, we have $[a, y_1y_2] \in I_\chi$ for all $a \in \mathfrak{m}$. Hence $(Q_\chi)^{adm}$ as an algebra is well-defined.

6. Finite W -algebras for even good \mathbb{Z} -gradings

Definition 6.1. A good \mathbb{Z} -grading $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$ is called even, if $\mathfrak{g}_j = 0$ unless j is an even integer.

The definition of W_χ for an even good \mathbb{Z} -grading is simpler, since in this case $\mathfrak{g}_{-1} = 0$. Hence there is no complications of choice of a Lagrangian subspace \mathfrak{l} and $\mathfrak{m} = \bigoplus_{j \leq -2} \mathfrak{g}_j$.

Let $\mathfrak{p} := \bigoplus_{j \geq 0} \mathfrak{g}_j$ be a parabolic subalgebra of \mathfrak{g} . From the PBW theorem,

$$U(\mathfrak{g}) = U(\mathfrak{p}) \oplus I_\chi.$$

The projection

$$pr_\chi : U(\mathfrak{g}) \longrightarrow U(\mathfrak{p})$$

along this direct sum decomposition induces an isomorphism:

$$\overline{pr}_\chi : U(\mathfrak{g})/I_\chi \xrightarrow{\sim} U(\mathfrak{p}).$$

The algebra W_χ can be regarded as a *subalgebra* of $U(\mathfrak{p})$.

Consider a χ -twisted adjoint action of \mathfrak{m} on $U(\mathfrak{p})$ by

$$a \cdot y := pr_\chi([a, y]) \text{ for } a \in \mathfrak{m} \text{ and } y \in U(\mathfrak{p}).$$

Identify W_χ as

$$W_\chi = U(\mathfrak{p})^{adm} := \{y \in U(\mathfrak{p}) \mid [a, y] \in I_\chi \text{ for all } a \in \mathfrak{m}\}.$$

Since $\overline{pr}_\chi : U(\mathfrak{g})/I_\chi \xrightarrow{\sim} U(\mathfrak{p})$ is an isomorphism of \mathfrak{m} -modules, this definition is equivalent to the definition (1) (for even \mathbb{Z} -gradings) [W].

7. Filtration on W_χ

To introduce a filtration on W_χ , first we will recall the definition of a filtered algebra [A].

Definition 7.1. A filtered algebra is an algebra A , which has an increasing sequence of subspaces such that

$$\{0\} \subset F_0 \subset F_1 \subset \dots \subset F_i \subset \dots \subset A, \quad A = \bigcup_i F_i,$$

which is compatible with the multiplication:

$$F_i \cdot F_j \subset F_{i+j} \text{ for all } i, j.$$

As a vector space the associated graded algebra is

$$Gr(A) := \bigoplus_i G_i,$$

where

$$G_i = F_i/F_{i-1} \text{ for all } i > 0, \quad G_0 = F_0,$$

with multiplication

$$(x + F_{i-1})(y + F_{j-1}) = xy + F_{i+j-1}, \quad x \in F_i, \quad y \in F_j.$$

Definition 7.2. *Kazhdan filtration on W_χ .*

Let \mathfrak{g} be a reductive Lie algebra with a Dynkin \mathbb{Z} -grading: $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$, and let $\mathfrak{m} = (\bigoplus_{j \leq -2} \mathfrak{g}_j) \oplus \mathfrak{l}$. Let $\mathfrak{n} \subset \mathfrak{g}$ be an $ad(h)$ -invariant subspace such that $\mathfrak{g} = \mathfrak{m} \oplus \mathfrak{n}$ and $\mathbb{C}_\chi = \langle v \rangle$ be one-dimensional representation of \mathfrak{m} . Then

$$W_\chi = \{X \in U(\mathfrak{g})/U(\mathfrak{g})\mathfrak{m} \cong S(\mathfrak{n}) \mid aXv = \chi(a)Xv \text{ for all } a \in \mathfrak{m}\}.$$

For any $y \in \mathfrak{n}$, let $wt(y)$ be the weight of y with respect to $ad(h)$ and

$$deg(y) = wt(y) + 2$$

The degree function deg induces a \mathbb{Z} -grading on $S(\mathfrak{n})$. This grading defines a filtration on W_χ .

Theorem 7.1. *A. Premet [Pr1].*

The associated graded algebra $Gr(W_\chi)$ is isomorphic to $S(\mathfrak{g}^e)$.

Idea of Proof. Introduce the map

$$P: W_\chi \longrightarrow S(\mathfrak{g}^e).$$

For $X \in W_\chi \subset S(\mathfrak{n})$, let $P(X)$ be the highest weight component in the highest degree component of X , then $P(X)$ belongs to $S(\mathfrak{g}^e)$.

Example 7.1. *If $e = 0$, then the statement of Theorem 3 is the PBW theorem. In this case $W_\chi = U(\mathfrak{g})$, $\mathfrak{g}^e = \mathfrak{g}$ and we have that*

$$Gr(U(\mathfrak{g})) \cong S(\mathfrak{g}).$$

The finite W -algebras associated to regular nilpotent elements are called the principal finite W -algebras.

8. The case of $\mathfrak{g} = \mathfrak{gl}(2)$

In this section, we describe the principal finite W -algebra for $\mathfrak{gl}(2)$.

Form: $(a|b) = \text{tr}(ab)$.

$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Note that e is a regular nilpotent element, h defines an even Dynkin \mathbb{Z} -grading of \mathfrak{g} whose degrees on the elementary matrices E_{ij} are

$$\begin{pmatrix} 0 & 2 \\ -2 & 0 \end{pmatrix}.$$

Let $z = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, then z is a central element of \mathfrak{g} .

$$\mathfrak{g}^e = \langle z, e \rangle, \quad \dim \mathfrak{g}^e = \dim \mathfrak{g}_0 = 2.$$

$$\mathfrak{m} = \mathfrak{g}_{-2} = \langle f \rangle, \quad \chi(f) = (f|e) = 1,$$

$$\mathfrak{n} = \mathfrak{p} = \mathfrak{g}_0 \oplus \mathfrak{g}_2, \quad \mathbb{C}\chi = \langle v \rangle.$$

W_χ is the polynomial algebra generated by $\pi(z)$ and $\pi(e + \frac{1}{4}h^2 - \frac{1}{2}h)$.

$\Omega = ef + fe + \frac{1}{2}h^2 \in Z(\mathfrak{g})$ is the quadratic Casimir element of \mathfrak{g} .

We have that

$$\frac{1}{2}\pi(\Omega) = \frac{1}{2}\pi(ef + fe + \frac{1}{2}h^2) = \frac{1}{2}\pi(2ef - h + \frac{1}{2}h^2) = \pi(e + \frac{1}{4}h^2 - \frac{1}{2}h).$$

The generators of W_χ can be identified with elements of \mathfrak{g}^e :

$$\pi(z) \xrightarrow{P} z,$$

$$\frac{1}{2}\pi(\Omega) \xrightarrow{P} e.$$

9. The case of $\mathfrak{g} = \mathfrak{gl}(n)$

In this section, we describe the principal finite W -algebra for $\mathfrak{gl}(n)$.

Form: $(a|b) = \text{tr}(ab)$. Let

$$e = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \quad f = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ n-1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2(n-2) & 0 & \cdots & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & n-1 & 0 \end{pmatrix},$$

$h = \text{diag}(n-1, n-3, \dots, 3-n, 1-n)$. Note that e is a regular nilpotent element, and h defines an even Dynkin \mathbb{Z} -grading of \mathfrak{g} whose degrees on the elementary matrices E_{ij} are

$$\begin{pmatrix} 0 & 2 & 4 & 6 & \cdots & 2n-2 \\ -2 & 0 & 2 & 4 & \cdots & 2n-4 \\ -4 & -2 & 0 & 2 & \cdots & 2n-6 \\ -6 & -4 & -2 & 0 & \cdots & 2n-8 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 2-2n & \cdots & -6 & -4 & -2 & 0 \end{pmatrix}.$$

Let $z = \text{diag}(1, \dots, 1)$ be a central element of $\mathfrak{gl}(n)$. Then

$$\mathfrak{g}^e = \langle z, e, e^2, e^3, \dots, e^{n-1} \rangle, \quad \dim \mathfrak{g}^e = \dim \mathfrak{g}_0 = n.$$

$$\mathfrak{m} = \bigoplus_{j \geq 2}^n \mathfrak{g}_{2-2j}, \quad \chi(E_{i+1,i}) = 1, \quad \chi(E_{i+k,i}) = 0 \text{ if } k \geq 2.$$

Recall that the *Casimir elements* of \mathfrak{g} are generators of $Z(\mathfrak{g})$, the center of $U(\mathfrak{g})$. If $\mathfrak{g} = \mathfrak{gl}(n)$ they are given as follows:

$$\Omega_k = \sum_{i_1, i_2, \dots, i_k} E_{i_1 i_2} E_{i_2 i_3} \dots E_{i_k i_1}, \text{ for } k \geq 1.$$

Note that W_χ is the polynomial algebra generated by n elements:

$$\pi(z), \pi(\Omega_2), \pi(\Omega_3), \dots, \pi(\Omega_n).$$

The generators of W_χ can be identified with elements of \mathfrak{g}^e :

$$\begin{aligned} \pi(z) &\xrightarrow{P} z, \\ \frac{1}{k} \pi(\Omega_k) &\xrightarrow{P} e^{k-1} \text{ for } k = 2, \dots, n. \end{aligned}$$

10. Lie superalgebras

Let $\mathbb{Z}_2 = \mathbb{Z}/2\mathbb{Z} = \{\bar{0}, \bar{1}\}$.

Definition 10.1. A *superspace* is a \mathbb{Z}_2 -graded vector space

$$V = V_{\bar{0}} \oplus V_{\bar{1}}.$$

Definition 10.2.

The *dimension of a superspace*: $\dim V = (m|n)$, where $\dim V_{\bar{0}} = m$, $\dim V_{\bar{1}} = n$.

The *parity of an element*: if $v \in V_{\bar{0}}$ then $p(v) = \bar{0}$, if $v \in V_{\bar{1}}$ then $p(v) = \bar{1}$.

Definition 10.3. A *superalgebra* is a \mathbb{Z}_2 -graded algebra

$$A = A_{\bar{0}} \oplus A_{\bar{1}}, \quad A_i A_j \subseteq A_{i+j} \text{ for } i, j \in \mathbb{Z}_2.$$

Definition 10.4. A *Lie superalgebra* is a \mathbb{Z}_2 -graded algebra

$$\mathfrak{g} = \mathfrak{g}_{\bar{0}} \oplus \mathfrak{g}_{\bar{1}}$$

with an operation $[\ , \]$ satisfying the following axioms:

1. *super-anticommutativity*:

$$[x, y] = -(-1)^{p(x)p(y)} [y, x] \quad \text{for all } x, y \in \mathfrak{g},$$

2. *super-Jacobi identity*:

$$[x, [y, z]] = [[x, y], z] + (-1)^{p(x)p(y)} [y, [x, z]] \quad \text{for all } x, y, z \in \mathfrak{g}.$$

Remark 10.1. $\mathfrak{g}_{\bar{0}}$ is a Lie algebra, $[\mathfrak{g}_{\bar{0}}, \mathfrak{g}_{\bar{1}}] \subseteq \mathfrak{g}_{\bar{1}}$, hence $\mathfrak{g}_{\bar{1}}$ is a module over $\mathfrak{g}_{\bar{0}}$,

$$[\mathfrak{g}_{\bar{1}}, \mathfrak{g}_{\bar{1}}] \subseteq \mathfrak{g}_{\bar{0}}.$$

Definition 10.5. A Lie superalgebra \mathfrak{g} is *simple*, if it is not abelian and the only \mathbb{Z}_2 -graded ideals of \mathfrak{g} are $\{0\}$ and \mathfrak{g} .

Remark 10.2. *Sign Rule.* If an element x with parity $p(x)$ moves through an element y with parity $p(y)$, then the sign $(-1)^{p(x)p(y)}$ appears in the formula.

11. Main examples of Lie superalgebras

Example 11.1. *The General Linear Lie superalgebra.*

$$\mathfrak{g} = \mathfrak{gl}(m|n) = \mathfrak{g}_0 \oplus \mathfrak{g}_1, \text{ where}$$

$$\mathfrak{g}_0 = \left\{ \left(\begin{array}{c|c} A & 0 \\ \hline 0 & B \end{array} \right) \mid A \text{ is a } m \times m \text{ matrix, } B \text{ is a } n \times n \text{ matrix} \right\},$$

$$\mathfrak{g}_1 = \left\{ \left(\begin{array}{c|c} 0 & C \\ \hline D & 0 \end{array} \right) \mid C \text{ is a } m \times n \text{ matrix, } D \text{ is a } n \times m \text{ matrix} \right\}.$$

Definition 11.1. *Super-bracket:*

$$[X, Y] = XY - (-1)^{p(X)p(Y)} YX \text{ for } X, Y \in \mathfrak{g}.$$

Super-trace:

$$\text{str} \left(\begin{array}{c|c} A & C \\ \hline D & B \end{array} \right) = \text{tr}(A) - \text{tr}(B).$$

Example 11.2. *The Special Linear Lie superalgebra:*

$$\mathfrak{sl}(m|n) := \{X \in \mathfrak{gl}(m|n) \mid \text{str}X = 0\}.$$

Note that $\mathfrak{sl}(m|n)$ is simple if and only if $m \neq n$. If $m = n$ then $\mathfrak{sl}(n|n) / \langle 1_{2n} \rangle$ is simple.

Example 11.3. *The Orthogonal-Symplectic Lie superalgebra.*

Let F be a non-degenerate super symmetric bilinear form on a superspace

$V = V_0 \oplus V_1$, where $\dim V = (m|n)$:

$$F(v, w) = (-1)^{p(v)p(w)} F(w, v) \quad v, w \in V.$$

The restriction of F to V_0 is symmetric, and to V_1 is skew-symmetric, hence n is even.

$$\mathfrak{osp}(m|n) := \{X \in \mathfrak{gl}(m|n) \mid F(X(v), w) + (-1)^{p(X)p(v)} F(v, X(w)) = 0, \forall v, w \in V\}.$$

For instance, let $m = 2l$, $n = 2r$.

$$F = \left(\begin{array}{cc|cc} 0 & 1_l & 0 & 0 \\ 1_l & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1_r \\ 0 & 0 & -1_r & 0 \end{array} \right),$$

where 1_l is the identity $l \times l$ -matrix, 1_r is the identity $r \times r$ -matrix. Then

$$\mathfrak{osp}(m|n) = \left(\begin{array}{cc|cc} A & B & X & P \\ C & -A^t & Y & Q \\ \hline Q^t & P^t & D & E \\ -Y^t & -X^t & F & -D^t \end{array} \right),$$

where A is any $l \times l$ matrix, B and C are skew-symmetric $l \times l$ matrices, D is any $r \times r$ matrix, E and F are symmetric $r \times r$ matrices, X, Y, P, Q are any $l \times r$ matrices.

12. Classification of simple finite-dimensional Lie superalgebras

Definition 12.1. [K]. A Lie superalgebra $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ is called classical, if it is simple, and the representation of the Lie algebra \mathfrak{g}_0 on \mathfrak{g}_1 is completely reducible.

Definition 12.2. A classical Lie superalgebra \mathfrak{g} is called basic, if \mathfrak{g} admits an even non-degenerate \mathfrak{g} -invariant bilinear form.

Definition 12.3. A classical Lie superalgebra \mathfrak{g} is of Type I, if \mathfrak{g}_1 is a direct sum of two simple \mathfrak{g}_0 -submodules.

Remark 12.1. Notations:

$$A(m, n) = \mathfrak{sl}(m+1|n+1) \text{ for } m \neq n, \quad m, n \geq 0,$$

$$A(n, n) = \mathfrak{sl}(n+1|n+1) / \langle 1_{2n+2} \rangle, \quad n > 0,$$

$$B(m, n) = \mathfrak{osp}(2m+1|2n), \quad m \geq 0, n > 0,$$

$$D(m, n) = \mathfrak{osp}(2m|2n), \quad m \geq 2, n > 0,$$

$$C(n) = \mathfrak{osp}(2|2n-2), \quad n \geq 2.$$

Theorem 12.1. V. G. Kac (1977) [K].

A simple finite-dimensional Lie superalgebra over an algebraically closed field of characteristic zero is isomorphic either to one of the simple Lie algebras, or to one of classical Lie superalgebras:

$$A(m, n), B(m, n), C(n), D(m, n), D(2, 1; \alpha), F(4), G(3), P(n), \tilde{Q}(n),$$

or to one of Lie superalgebras of Cartan type: $W(n), S(n), H(n), \tilde{S}(n)$.

13. Finite W -algebras for Lie superalgebras (joint work with V. Serganova)

Finite W -algebras for Lie superalgebras have been studied by C. Briot, E. Ragoucy, J. Brundan, J. Brown, S. Goodwin, W. Wang, L. Zhao and other mathematicians and physicists [BR, BBG, W, Z]. Analogues of finite W -algebras for Lie superalgebras in terms of BRST cohomology were defined in [DK].

We consider the case when \mathfrak{g} is a classical simple Lie superalgebra, i.e. $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$, \mathfrak{g}_0 is a reductive Lie algebra, and \mathfrak{g} has an invariant super-symmetric bilinear form $(\cdot|\cdot)$.

Remark 13.1. *The Premet's definition makes sense for Lie superalgebras. One should consider a good \mathbb{Z} -grading $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$ for $e \in (\mathfrak{g}_2)_0$ (i.e. a \mathbb{Z} -grading which satisfies properties (2) and (3) of Def. 6). Note that good \mathbb{Z} -gradings of basic Lie superalgebras over an algebraically closed field of characteristic zero were classified in [H].*

Let $e \in \mathfrak{g}_0$ be an even nilpotent element, and we fix $\mathfrak{sl}(2) = \langle e, h, f \rangle$. As in the Lie algebra case, the linear operator $ad(h)$ defines a \mathbb{Z} -grading $\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}_i$. Let $\mathfrak{g}^e := \text{Ker}(ad(e))$. Note that $\dim \mathfrak{g}^e = \dim \mathfrak{g}_0 + \dim \mathfrak{g}_1$. Let \mathfrak{l} be a Lagrangian subspace in \mathfrak{g}_{-1} , with respect to the super-skew-symmetric bilinear form $(x, y) = ([x, y]|e)$. We consider a nilpotent subalgebra $\mathfrak{m} = \bigoplus_{j \leq -2} \mathfrak{g}_j \oplus \mathfrak{l}$ of \mathfrak{g} . Let $\chi \in \mathfrak{g}^*$ be defined by $\chi(x) = (x|e)$. Let $\mathbb{C}_\chi = \langle v \rangle$ be the one-dimensional \mathfrak{m} -module with character χ . Let I_χ be the left ideal of $U(\mathfrak{g})$ generated by $a - \chi(a)$ for all $a \in \mathfrak{m}$.

The *generalized Whittaker module* Q_χ is defined as in Def.8. The *finite W-algebra* W_χ associated to the nilpotent element e is defined as in Def.9.

Remark 13.2.

- (1) *The isoclasses of W_χ do not depend on the choice of Lagrangian subspace \mathfrak{l} [Z].*
- (2) *Theorem of Kostant does not hold for Lie superalgebras, since W_χ must have a non-trivial odd part, and the center $Z(\mathfrak{g})$ of $U(\mathfrak{g})$ is even.*
- (3) *Kazhdan filtration on W_χ can be defined exactly as in the Lie algebra case (see Def. 13).*

Proposition 13.1. *$Gr(W_\chi)$ is supercommutative.*

Remark 13.3. *If $\dim(\mathfrak{g}_{-1})_1$ is even, then one can construct the similar map*

$$P : W_\chi \longrightarrow S(\mathfrak{g}^e)$$

by taking the highest weight component in the highest degree component.

If $\dim(\mathfrak{g}_{-1})_1$ is odd, then there exists an odd element θ in $\mathfrak{g}_{-1} \cap \mathfrak{l}^\perp$ such that $\pi(\theta) \in W_\chi$ and $\pi(\theta)^2 = 1$.

In what follows we study the *principal* finite W -algebras, which are the finite W -algebras associated to even *regular* nilpotent elements.

14. Defect

Definition 14.1. [KW].

Let \mathfrak{g} be a classical Lie superalgebra, and let Δ be the set of roots with respect to a maximal torus in \mathfrak{g}_0 . If \mathfrak{g} is a basic Lie superalgebra, then the defect of \mathfrak{g} $def(\mathfrak{g})$ is the dimension of a maximal isotropic subspace in the \mathbb{R} -span of Δ .

Example 14.1.

$$def(\mathfrak{sl}(m|n)) = \min(m, n),$$

$$def(\mathfrak{osp}(2m|2n)) = def(\mathfrak{osp}(2m+1|2n)) = \min(m, n).$$

The exceptional Lie superalgebras

$$D(2, 1; \alpha), G(3), F(4)$$

have defect one.

Remark 14.1. [PS1]. If e is a regular nilpotent element in \mathfrak{g} , then

$$\dim(\mathfrak{g}^e)_{\bar{1}} = 2def(\mathfrak{g}) \text{ or } 2def(\mathfrak{g}) + 1.$$

$\dim(\mathfrak{g}^e)_{\bar{1}} = 2def(\mathfrak{g})$, if $\mathfrak{g} = \mathfrak{sl}(m|n)$, $\mathfrak{osp}(2m+1|2n)$, $m \geq n$; $\mathfrak{osp}(2m|2n)$, $m \leq n$, $G(3)$;

$\dim(\mathfrak{g}^e)_{\bar{1}} = 2def(\mathfrak{g}) + 1$, if $\mathfrak{g} = \mathfrak{osp}(2m+1|2n)$, $m < n$; $\mathfrak{osp}(2m|2n)$, $m > n$; $D(2, 1; \alpha)$, $F(4)$.

15. The case of $\mathfrak{g} = \mathfrak{sl}(1|n)$

In this section, we describe the principal finite W -algebra for $\mathfrak{sl}(1|n)$ and more generally, for a Lie superalgebra of Type I and defect one.

Form: $(a|b) = -\text{str}(ab)$

$$e = \left(\begin{array}{c|cccccc} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right), \quad f = \left(\begin{array}{c|cccccc} 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & n-1 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 2(n-2) & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & n-1 & 0 \end{array} \right)$$

$$h = \text{diag}(0|n-1, n-3, \dots, 3-n, 1-n).$$

Note that e is a regular nilpotent element. We will use the following notations for some elementary matrices in $\mathfrak{gl}(1|n)$:

$$E_{ij} = \left(\begin{array}{c|cccccc} h_0 & \mu_1 & \mu_2 & \mu_3 & \cdots & \mu_n \\ \hline \xi_1 & h_1 & e_1 & \cdots & \cdots & \cdots \\ \xi_2 & f_1 & h_2 & e_2 & \cdots & \cdots \\ \xi_3 & \cdots & f_2 & h_3 & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots & e_{n-1} \\ \xi_n & \cdots & \cdots & \cdots & f_{n-1} & h_n \end{array} \right).$$

h defines a Dynkin \mathbb{Z} -grading of \mathfrak{g} whose degrees on the elementary matrices E_{ij} are

$$\begin{pmatrix} 0 & 1-n & 3-n & \cdots & n-3 & \mathbf{n-1} \\ \mathbf{n-1} & 0 & 2 & 4 & \cdots & 2n-2 \\ n-3 & -2 & 0 & 4 & \cdots & 2n-4 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 3-n & 4-2n & \cdots & \cdots & 0 & 2 \\ 1-n & 2-2n & \cdots & \cdots & -2 & 0 \end{pmatrix}.$$

Let $c = \text{diag}(n|1, \dots, 1)$ be a central element of \mathfrak{g}_0 . Then

$$\mathfrak{g}^e = \langle e, e^2, \dots, e^{n-1}, c \mid \xi_1, \mu_n \rangle, \quad \dim(\mathfrak{g}^e) = (n|2).$$

Let $\mathfrak{m} = (\bigoplus_{j \leq -2} \mathfrak{g}_j) \oplus \mathfrak{l}$. Note that if n is odd, then $\mathfrak{l} = 0$, and if $n = 2k$, then

$\mathfrak{l} = \langle \xi_{k+1} \rangle$. Note also that \mathfrak{m} is generated by $f_1, \dots, f_n; \mu_1, \dots, \mu_{k-1}, \xi_{k+1}, \dots, \xi_n$, if $n = 2k$, and by $f_1, \dots, f_n; \mu_1, \dots, \mu_k, \xi_{k+2}, \dots, \xi_n$, if $n = 2k + 1$.

$$\chi(f_i) = 1 \quad \chi(\mu_i) = \chi(\xi_i) = 0.$$

Let \mathfrak{n} be an $ad(h)$ -invariant subspace of \mathfrak{g} : $\mathfrak{g} = \mathfrak{m} \oplus \mathfrak{n}$. Recall that \mathfrak{g} admits \mathbb{Z} -grading $\mathfrak{g} = \mathfrak{g}^{-1} \oplus \mathfrak{g}^0 \oplus \mathfrak{g}^1$ consistent with the \mathbb{Z}_2 -grading:

$$\mathfrak{g}^0 = \begin{pmatrix} * & 0 & \cdots & 0 \\ 0 & * & * & * \\ \cdots & \cdots & \cdots & \cdots \\ 0 & * & * & * \end{pmatrix}, \quad \mathfrak{g}^1 = \begin{pmatrix} 0 & * & \cdots & * \\ 0 & 0 & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & 0 \end{pmatrix},$$

$$\mathfrak{g}^{-1} = \begin{pmatrix} 0 & 0 & \cdots & 0 \\ * & 0 & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots \\ * & 0 & 0 & 0 \end{pmatrix}$$

Fix an $ad(h)$ -homogeneous bases:

$B(\mathfrak{m}_{-1})$ of $\mathfrak{m} \cap \mathfrak{g}^{-1}$, $B(\mathfrak{m}_1)$ of $\mathfrak{m} \cap \mathfrak{g}^1$, $B(\mathfrak{n}_{-1})$ of $\mathfrak{n} \cap \mathfrak{g}^{-1}$, $B(\mathfrak{n}_1)$ of $\mathfrak{n} \cap \mathfrak{g}^1$.

Set

$$R_1 = \left(\prod_{x \in B(\mathfrak{m}_1)} x \right) \left(\prod_{y \in B(\mathfrak{n}_{-1})} y \right),$$

$$R_2 = \left(\prod_{y \in B(\mathfrak{m}_{-1})} y \right) \left(\prod_{x \in B(\mathfrak{n}_1)} x \right).$$

Note that $\pi(R_1)$ and $\pi(R_2)$ are both Whittaker vectors, hence $\pi(R_1), \pi(R_2) \in W_\chi$.

Let

$$p(E_{ij}) = p(i) + p(j),$$

where E_{ij} is an elementary matrix, and

$$p(i) = \begin{cases} 0 & \text{if } 1 \leq i \leq m, \\ 1 & \text{if } m+1 \leq i \leq m+n. \end{cases}$$

Remark 15.1. *Casimir elements of $\mathfrak{gl}(m|n)$ are*

$$\Omega_k = \sum_{i_1, i_2, \dots, i_k} (-1)^{p(i_2) + \dots + p(i_k)} E_{i_1 i_2} E_{i_2 i_3} \dots E_{i_k i_1}.$$

Even generators of W_χ are $\pi(\Omega_k)$, for $k = 2, \dots, n$ and $\pi(c)$.

The generators of W_χ can be identified with elements of \mathfrak{g}^e :

$$\begin{aligned} (-1)^{k+1} \frac{1}{k} \pi(\Omega_k) &\xrightarrow{P} e^{k-1}, \quad k = 2, \dots, n, \\ \pi(c) &\xrightarrow{P} c, \\ \pi(R_1) &\xrightarrow{P} \xi_1, \\ \pi(R_2) &\xrightarrow{P} \mu_n. \end{aligned}$$

Theorem 15.1. [PS1]. *Let \mathfrak{g} be a Lie superalgebra of Type I and defect one (i.e. $\mathfrak{g} = \mathfrak{sl}(1|n)$ or $\mathfrak{vosp}(2|2n-2)$). Let n be the rank of \mathfrak{g}_0 , c be a central element of \mathfrak{g}_0 , and $\Omega_2, \dots, \Omega_n$ be the first $n-1$ Casimir elements in $Z(\mathfrak{g})$. Then the principal finite W -algebra W_χ is a finite extension of $\mathbb{C}[\pi(c), \pi(\Omega_2), \dots, \pi(\Omega_n)]$ with odd generators $\pi(R_1), \pi(R_2)$ and defining relations*

$$\begin{aligned} \pi(R_1)^2 = \pi(R_2)^2 = 0, \quad [\pi(c), \pi(R_1)] = -\pi(R_1), \quad [\pi(c), \pi(R_2)] = \pi(R_2), \\ [\pi(\Omega_i), \pi(R_1)] = [\pi(\Omega_i), \pi(R_2)] = 0, \quad i = 2, \dots, n, \\ [\pi(R_1), \pi(R_2)] = \pi(\tilde{\Omega}), \end{aligned}$$

where

$$\tilde{\Omega} = \prod_{y \in B(\mathfrak{g}^{-1})} ad y \left(\prod_{x \in B(\mathfrak{g}^1)} x \right)$$

is an element of $Z(\mathfrak{g})$. In this case $W_\chi \cong U(\mathfrak{g}^e)$.

16. The case of $\mathfrak{g} = \mathfrak{gl}(n|n)$

In this section, we outline the principal finite W -algebra for $\mathfrak{gl}(n|n)$.

Form: $(a|b) = \text{str}(ab)$. We will use the following notations for some elementary matrices in $\mathfrak{gl}(n|n)$:

$$\left(\begin{array}{cccc|cccc} h_1 & e_1 & \cdots & \cdots & \cdots & y_1 & y_{n+1} & \cdots & \cdots & \cdots \\ f_1 & h_2 & e_2 & \cdots & \cdots & \mu_1 & y_2 & y_{n+2} & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \cdots & \cdots & f_{n-2} & h_{n-1} & e_{n-1} & \cdots & \cdots & \mu_{n-2} & y_{n-1} & y_{2n-1} \\ \cdots & \cdots & \cdots & f_{n-1} & h_n & \cdots & \cdots & \cdots & \mu_{n-1} & y_n \\ \hline x_1 & x_{n+1} & \cdots & \cdots & \cdots & h_{n+1} & e_n & \cdots & \cdots & \cdots \\ \xi_1 & x_2 & x_{n+2} & \cdots & \cdots & g_1 & h_{n+2} & e_{n+1} & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \cdots & \cdots & \xi_{n-2} & x_{n-1} & x_{2n-1} & \cdots & \cdots & g_{n-2} & h_{2n-1} & e_{2n-2} \\ \cdots & \cdots & \cdots & \xi_{n-1} & x_n & \cdots & \cdots & \cdots & g_{n-1} & h_{2n} \end{array} \right)$$

Let $\mathfrak{sl}(2) = \langle e, h, f \rangle$, where $e = e_1 + e_2 + \cdots + e_{2n-2}$, $f = \sum_{i=1}^{n-1} i(n-i)(f_i + g_i)$, $h = \text{diag}(n-1, n-3, \dots, 1-n \mid n-1, n-3, \dots, 1-n)$. Note that e is a regular nilpotent element. Let $z = \text{diag}(1, \dots, 1 \mid 1, \dots, 1)$, $c = \text{diag}(1, \dots, 1 \mid -1, \dots, -1)$.

Note that $\dim(\mathfrak{g}^e) = (2n|2n)$. Explicitly, $\mathfrak{g}^e = \mathfrak{g}_0^e \oplus \mathfrak{g}_1^e$, where

$$\mathfrak{g}_0^e = \langle (e_1 + \cdots + e_{n-1})^i, (e_n + \cdots + e_{2n-2})^i, z, c \rangle,$$

$$\mathfrak{g}_1^e = \langle (x_1 + \cdots + x_n), (y_1 + \cdots + y_n), (x_{n+1} + \cdots + x_{2n-1})^i, (y_{n+1} + \cdots + y_{2n-1})^i \rangle,$$

where $i = 1, \dots, n-1$, and the powers are considered in the corresponding $n \times n$ matrices.

Theorem 16.1. [PS1]. *In the case when $\mathfrak{g} = \mathfrak{gl}(n|n)$, \mathfrak{g}^e is isomorphic to the truncated Lie superalgebra of polynomial currents in $\mathfrak{gl}(1|1)$.*

$$\mathfrak{gl}(1|1) = \left\{ \left(\begin{array}{c|c} a_{11} & a_{12} \\ \hline a_{21} & a_{22} \end{array} \right) \mid a_{ij} \in \mathbb{C} \right\}.$$

The isomorphism

$$\varphi : \mathfrak{g}^e \longrightarrow \mathfrak{gl}(1|1) \otimes \mathbb{C}[t]/(t^n)$$

is given as follows:

$$\varphi((e_1 + \cdots + e_{n-1})^i) = E_{11} \otimes t^i, \quad \varphi((e_n + \cdots + e_{2n-2})^i) = E_{22} \otimes t^i,$$

$$\varphi((x_{n+1} + \cdots + x_{2n-1})^i) = E_{21} \otimes t^i, \quad \varphi((y_{n+1} + \cdots + y_{2n-1})^i) = E_{12} \otimes t^i,$$

for $i = 1, \dots, n-1$,

$$\varphi\left(\frac{z+c}{2}\right) = E_{11}, \quad \varphi\left(\frac{z-c}{2}\right) = E_{22}, \quad \varphi(x_1 + \cdots + x_n) = E_{21}, \quad \varphi(y_1 + \cdots + y_n) = E_{12}.$$

h defines an even Dynkin \mathbb{Z} -grading of $\mathfrak{gl}(n|n)$ whose degrees on the elementary matrices are

$$\left(\begin{array}{cccc|cccc} 0 & 2 & \cdots & 2n-2 & 0 & 2 & \cdots & 2n-2 \\ -2 & 0 & \cdots & 2n-4 & -2 & 0 & \cdots & 2n-4 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 2-2n & \cdots & \cdots & 0 & 2-2n & \cdots & \cdots & 0 \\ \hline 0 & 2 & \cdots & 2n-2 & 0 & 2 & \cdots & 2n-2 \\ -2 & 0 & \cdots & 2n-4 & -2 & 0 & \cdots & 2n-4 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 2-2n & \cdots & \cdots & 0 & 2-2n & \cdots & \cdots & 0 \end{array} \right).$$

$$\mathfrak{m} = \bigoplus_{j=2}^n \mathfrak{g}_{2-2j},$$

\mathfrak{m} is generated by ξ_i, μ_i, f_i, g_i for $i = 1, \dots, n-1$. Note that

$$\chi(f_i) = -\chi(g_i) = 1; \quad \chi(\mu_i) = \chi(\xi_i) = 0, \text{ for } i = 1, \dots, n-1.$$

Note that W_χ is generated by $2n$ even elements and $2n$ odd elements.

The generators of W_χ can be identified with elements of \mathfrak{g}^e using the map

$$P : W_\chi \longrightarrow S(\mathfrak{g}^e).$$

17. The super-Yangian of $\mathfrak{gl}(m|n)$

In this section, we outline the correspondence between finite W -algebras for $\mathfrak{gl}(m|n)$ and super-Yangians.

Recall that for a finite-dimensional semi-simple Lie algebra \mathfrak{g} , the Yangian of \mathfrak{g} is an infinite-dimensional Hopf algebra $Y(\mathfrak{g})$. It is a deformation of the universal enveloping algebra of the Lie algebra of polynomial currents of \mathfrak{g} $[M]$.

Definition 17.1. *The super-Yangian $Y(\mathfrak{gl}(m|n))$ of $\mathfrak{gl}(m|n)$ is an associative unital superalgebra over \mathbb{C} with a countable set of generators*

$$T_{i,j}^{(1)}, T_{i,j}^{(2)}, \dots, \text{ where } i, j = 1, \dots, m+n.$$

The \mathbb{Z}_2 -grading of the algebra $Y(\mathfrak{gl}(m|n))$ is defined as follows:

$$p(T_{i,j}^{(n)}) = p(i) + p(j).$$

To write down defining relation for the generators of $Y(\mathfrak{gl}(m|n))$ we employ the formal series in $Y(\mathfrak{gl}(m|n)[[u^{-1}]]$:

$$T_{i,j}(u) = \delta_{ij} \cdot 1 + T_{i,j}^{(1)} u^{-1} + T_{i,j}^{(2)} u^{-2} + \dots$$

For all indices i, j, k, l we have the relations

$$(u - v)[T_{i,j}(u), T_{k,l}(v)] = (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)}((T_{k,j}(u)T_{i,l}(v) - T_{k,j}(v)T_{i,l}(u)), \quad (2)$$

where v is a formal parameter independent of u , so that (2) is an equality in the algebra of formal Laurent series in u^{-1}, v^{-1} with coefficients in $Y(\mathfrak{gl}(m|n))$.

The following Proposition follows from [BR].

Proposition 17.1. *In the case when $\mathfrak{g} = \mathfrak{gl}(n|n)$, the corresponding principal finite W -algebra is isomorphic to the truncated super-Yangian $Y(\mathfrak{gl}(1|1))/(n)$.*

Remark 17.1. *The principal finite W -algebras for $\mathfrak{gl}(m|n)$ associated to regular nilpotent elements were described as certain truncations of a shifted version of the super-Yangian $Y(\mathfrak{gl}(1|1))$ by J. Brown, J. Brundan and S. Goodwin in 2012 [BBG]. They also classified irreducible modules over principal finite W -algebras for $\mathfrak{gl}(m|n)$ by highest weight theory and proved that they are finite-dimensional.*

In 2003 C. Briot and E. Ragoucy observed that certain finite W -algebras based on $\mathfrak{gl}(m|n)$ can be realized as truncations of the super-Yangian of $\mathfrak{gl}(m|n)$ [BR]. They also observed that finite W -algebras for $\mathfrak{gl}(m|n)$ associated to non-regular nilpotent elements are connected to higher rank super-Yangians.

18. The case of $\mathfrak{g} = \mathbf{Q}(n)$

In this section, we construct a complete set of generators of the principal finite W -algebra for $Q(n)$.

The queer Lie superalgebra is defined as follows

$$Q(n) := \left\{ \left(\begin{array}{c|c} A & B \\ \hline B & A \end{array} \right) \mid A, B \text{ are } n \times n \text{ matrices} \right\}.$$

$$\text{Let } \text{otr} \left(\begin{array}{c|c} A & B \\ \hline B & A \end{array} \right) = \text{tr} B.$$

Remark 18.1. $Q(n)$ has one-dimensional center $\langle z \rangle$, where $z = 1_{2n}$. Let

$$SQ(n) = \{X \in Q(n) \mid \text{otr} X = 0\}.$$

Note that the Lie superalgebra $\tilde{Q}(n) := SQ(n)/\langle z \rangle$ is simple.

Let $e_{i,j}$ and $f_{i,j}$ be standard bases in A and B respectively:

$$e_{i,j} = \left(\begin{array}{c|c} E_{ij} & 0 \\ \hline 0 & E_{ij} \end{array} \right), \quad f_{i,j} = \left(\begin{array}{c|c} 0 & E_{ij} \\ \hline E_{ij} & 0 \end{array} \right),$$

where E_{ij} are elementary $n \times n$ matrices.

Note that $\mathfrak{g} = Q(n)$ admits an *odd* non-degenerate \mathfrak{g} -invariant super symmetric bilinear form

$$(x|y) := \text{otr}(xy) \text{ for } x, y \in \mathfrak{g}.$$

Therefore, we identify the coadjoint module \mathfrak{g}^* with $\Pi(\mathfrak{g})$, where Π is the functor changing the parity.

Let $\mathfrak{sl}(2) = \langle e, h, f \rangle$, where

$$e = \sum_{i=1}^{n-1} e_{i,i+1}, \quad h = \text{diag}(n-1, n-3, \dots, 3-n, 1-n), \quad f = \sum_{i=1}^{n-1} i(n-i)e_{i+1,i}.$$

Note that e is a regular nilpotent element, h defines an even Dynkin \mathbb{Z} -grading of \mathfrak{g} whose degrees on the elementary matrices are

$$\left(\begin{array}{cccc|cccc} 0 & 2 & \cdots & 2n-2 & 0 & 2 & \cdots & 2n-2 \\ -2 & 0 & \cdots & 2n-4 & -2 & 0 & \cdots & 2n-4 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 2-2n & \cdots & \cdots & 0 & 2-2n & \cdots & \cdots & 0 \\ \hline 0 & 2 & \cdots & 2n-2 & 0 & 2 & \cdots & 2n-2 \\ -2 & 0 & \cdots & 2n-4 & -2 & 0 & \cdots & 2n-4 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 2-2n & \cdots & \cdots & 0 & 2-2n & \cdots & \cdots & 0 \end{array} \right).$$

Let $E = \sum_{i=1}^{n-1} f_{i,i+1}$. Since we have an isomorphism $\mathfrak{g}^* \cong \Pi(\mathfrak{g})$, an even regular nilpotent $\chi \in \mathfrak{g}^*$ can be defined by $\chi(x) := (x|E)$ for $x \in \mathfrak{g}$. Note that

$$\mathfrak{g}^E = \{z, e, e^2, \dots, e^{n-1} \mid H_0, H_1, \dots, H_{n-1}\}, \quad \dim(\mathfrak{g}^E) = (n|n),$$

where $H_0 = \sum_{i=1}^n (-1)^{i-1} f_{i,i}$, $H_1 = \sum_{i=1}^{n-1} (-1)^i f_{i,i+1}$, \dots , $H_{n-1} = (-1)^{n-1} f_{1,n}$. Let

$$\mathfrak{m} = \bigoplus_{j=2}^n \mathfrak{g}_{2-2j}.$$

Note that \mathfrak{m} is generated by $e_{i+1,i}$ and $f_{i+1,i}$, where $i = 1, \dots, n-1$, and

$$\chi(e_{i+1,i}) = 1, \quad \chi(e_{i+k,i}) = 0 \text{ if } k \geq 2, \quad \chi(f_{i+k,i}) = 0 \text{ if } k \geq 1.$$

The left ideal I_χ and W_χ are defined now as usual.

A. Sergeev defined by induction the elements $e_{i,j}^{(m)}$ and $f_{i,j}^{(m)}$ belonging to $U(\mathfrak{g})$ [S]:

$$e_{i,j}^{(m)} = \sum_{k=1}^n e_{i,k} e_{k,j}^{(m-1)} + (-1)^{m+1} \sum_{k=1}^n f_{i,k} f_{k,j}^{(m-1)},$$

$$f_{i,j}^{(m)} = \sum_{k=1}^n e_{i,k} f_{k,j}^{(m-1)} + (-1)^{m+1} \sum_{k=1}^n f_{i,k} e_{k,j}^{(m-1)}.$$

Then

$$\begin{aligned} [e_{i,j}, e_{k,l}^{(m)}] &= \delta_{j,k} e_{i,l}^{(m)} - \delta_{i,l} e_{k,j}^{(m)}, & [e_{i,j}, f_{k,l}^{(m)}] &= \delta_{j,k} f_{i,l}^{(m)} - \delta_{i,l} f_{k,j}^{(m)}, \\ [f_{i,j}, e_{k,l}^{(m)}] &= (-1)^{m+1} \delta_{j,k} f_{i,l}^{(m)} - \delta_{i,l} f_{k,j}^{(m)}, & [f_{i,j}, f_{k,l}^{(m)}] &= (-1)^{m+1} \delta_{j,k} e_{i,l}^{(m)} + \delta_{i,l} e_{k,j}^{(m)}. \end{aligned}$$

Proposition 18.1. *A. Sergeev [S].*

The elements $\sum_{i=1}^n e_{i,i}^{(2m+1)}$ generate $Z(\mathfrak{g})$.

Theorem 18.1. [PS2]. $\pi(e_{n,1}^{(m)})$ and $\pi(f_{n,1}^{(m)})$ are Whittaker vectors.

W_χ has n even generators $\pi(e_{n,1}^{(n+k-1)})$ and n odd generators $\pi(f_{n,1}^{(n+k-1)})$, for $k = 1, \dots, n$. The generators of W_χ can be identified with elements of \mathfrak{g}^E :

$$\pi(e_{n,1}^{(n)}) \xrightarrow{P} z, \quad \pi(e_{n,1}^{(n+k-1)}) \xrightarrow{P} e^{k-1}, \quad k = 2, \dots, n,$$

$$\pi(f_{n,1}^{(n+k-1)}) \xrightarrow{P} H_{k-1}, \quad k = 1, \dots, n.$$

Corollary 18.1. The natural homomorphism $U(\mathfrak{g})^{ad\mathfrak{m}} \rightarrow W_\chi$ is surjective.

Let $\mathfrak{p} := \bigoplus_{j \geq 0} \mathfrak{g}_j$. Let $\mathfrak{f} = \langle e_{i,i}, f_{i,i} \mid i = 1, \dots, n \rangle$, and let $\vartheta : U(\mathfrak{p}) \rightarrow U(\mathfrak{f})$ be the Harish-Chandra homomorphism.

Denote

$$x_i = e_{i,i}, \quad \xi_i = (-1)^{i+1} f_{i,i}.$$

Theorem 18.2. [PS2]. The Harish-Chandra homomorphism is injective.

Under the Harish-Chandra homomorphism:

$$\vartheta(\pi(e_{n,1}^{(n+k-1)})) = [\sum_{i_1 \geq i_2 \geq \dots \geq i_k} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \dots (x_{i_{k-1}} - \xi_{i_{k-1}}) (x_{i_k} + \xi_{i_k})]_{\text{even}},$$

$$\vartheta(\pi(f_{n,1}^{(n+k-1)})) = [\sum_{i_1 \geq i_2 \geq \dots \geq i_k} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \dots (x_{i_{k-1}} - \xi_{i_{k-1}}) (x_{i_k} + \xi_{i_k})]_{\text{odd}}.$$

Theorem 18.3. [PS2].

$$\pi(e_{n,1}^{(n+1)}) = \pi\left(\frac{1}{2} \sum_{i=1}^n e_{i,i}^2 + \sum_{i=1}^{n-1} e_{i,i+1} + \sum_{i < j} (-1)^{i-j} f_{i,i} f_{j,j} + \frac{1}{2} z^2 - z\right).$$

One can define odd generators $\Phi_0, \dots, \Phi_{n-1}$ of W_χ as follows:

$$\begin{aligned}\Phi_0 &= \pi(f_{n,1}^{(n)}) = \pi(H_0), \\ \Phi_1 &= [\pi(e_{n,1}^{(n+1)}), \Phi_0], \\ &\dots \\ \Phi_{n-1} &= [\pi(e_{n,1}^{(n+1)}), \Phi_{n-2}].\end{aligned}$$

Then

$$\begin{aligned}[\Phi_m, \Phi_p] &= 0, \text{ if } m + p \text{ is odd,} \\ [\Phi_m, \Phi_p] &\in Z(\mathfrak{g}), \text{ if } m + p \text{ is even.}\end{aligned}$$

Lemma 18.1. For odd m and p we have

$$[\pi(e_{n,1}^{(n+m)}), \pi(e_{n,1}^{(n+p)})] = 0.$$

We set

$$z_i = \pi(e_{n,1}^{(n+i)}) \quad \text{for odd } i,$$

$$z_i = [\Phi_0, \Phi_i] \quad \text{for even } i.$$

Theorem 18.4. [PS2]. Elements z_0, \dots, z_{n-1} are algebraically independent in W_χ . Together with $\Phi_0, \dots, \Phi_{n-1}$ they form a complete set of generators in W_χ .

Example 18.1. $n = 2, \mathfrak{g} = Q(2)$.

Let

$$\begin{aligned}e &= e_{1,2}, \quad h = \text{diag}(1, -1), \quad f = e_{2,1}, \quad z = e_{1,1} + e_{2,2}, \\ E &= f_{1,2}, \quad H_0 = f_{1,1} - f_{2,2}, \quad H_1 = -f_{1,2}.\end{aligned}$$

Then

$$\mathfrak{g}^E = \{z, e \mid H_0, H_1\}, \quad \dim(\mathfrak{g}^E) = (2|2).$$

According to Theorem 7, W_χ has 2 even generators: $\pi(e_{2,1}^{(2)})$, $\pi(e_{2,1}^{(3)})$, and 2 odd generators: $\pi(f_{2,1}^{(2)})$, $\pi(f_{2,1}^{(3)})$. Let

$$\Phi_0 = \pi(f_{2,1}^{(2)}) = \pi(H_0),$$

$$\Phi_1 = [\pi(e_{2,1}^{(3)}), \Phi_0] = 2\pi(f_{2,1}^{(3)}) = 2\pi(-f_{1,2} + f_{1,1}e_{2,2} + f_{2,2}e_{1,1}).$$

Let

$$z_0 = 2\pi(e_{2,1}^{(2)}) = 2\pi(z),$$

$$z_1 = \pi(e_{2,1}^{(3)}) = \pi(e_{1,2} + e_{1,1}^2 + e_{2,2}^2 + e_{1,1}e_{2,2} - e_{1,1} - e_{2,2} - f_{1,1}f_{2,2}).$$

The nonzero commutation relations between the generators of W_χ are as follows:

$$[z_1, \Phi_0] = \Phi_1, \quad [z_1, \Phi_1] = 4z_1\Phi_0 - 2\Phi_1 - z_0^2\Phi_0 + 2z_0\Phi_0,$$

$$[\Phi_0, \Phi_0] = z_0, \quad [\Phi_1, \Phi_1] = 4\Phi_1\Phi_0 - 4z_0z_1 + \frac{3}{4}z_0^3 - z_0^2.$$

Note that

$$[\Phi_1, \Phi_1] = \frac{1}{3}\pi(-8(e_{1,1}^{(3)} + e_{2,2}^{(3)}) + 2z^3 + 4z^2).$$

Hence by Proposition 5

$$[\Phi_1, \Phi_1] \in \pi(Z(\mathfrak{g})).$$

Remark 18.2. *L. Zhao studied finite W-algebras for $\mathfrak{g} = Q(n)$ [Z]. He proved that the definition of the finite W-algebra is independent of the choices of the isotropic subspaces \mathfrak{l} and the good \mathbb{Z} -gradings. He also established a Skryabin type equivalence between the category of W_χ -modules and a category of certain \mathfrak{g} -modules.*

Conjecture 18.1. [PS2]. *Irreducible modules over the principal finite W-algebra for $Q(n)$ are finite-dimensional.*

Conjecture 18.2. [PS2]. *In the case when $\dim(\mathfrak{g}_{-1})_{\bar{1}}$ is even, it is possible to find a set of generators of the principal finite W-algebra for \mathfrak{g} such that even generators commute, and the commutators of odd generators are in $\pi(Z(\mathfrak{g}))$.*

19. The super-Yangian of $Q(n)$

In this section, we describe the principal finite W-algebra for $Q(n)$ as a factor algebra of the super-Yangian of $Q(1)$.

The super-Yangian $Y(Q(n))$ was studied by M. Nazarov and A. Sergeev [N, NS]. Note that $Y(Q(n))$ is the associative unital superalgebra over \mathbb{C} with the countable set of generators

$$T_{i,j}^{(m)}, \text{ where } m = 1, 2, \dots \text{ and } i, j = \pm 1, \pm 2, \dots, \pm n.$$

The \mathbb{Z}_2 -grading of the algebra $Y(Q(n))$ is defined as follows:

$$p(T_{i,j}^{(m)}) = p(i) + p(j), \text{ where } p(i) = 0 \text{ if } i > 0 \text{ and } p(i) = 1 \text{ if } i < 0.$$

To describe defining relations for the generators of $Y(Q(n))$ we employ the formal series in $Y(Q(n))[[u^{-1}]]$:

$$T_{i,j}(u) = \delta_{ij} \cdot 1 + T_{i,j}^{(1)} u^{-1} + T_{i,j}^{(2)} u^{-2} + \dots$$

For all indices i, j, k, l we have the relations

$$\begin{aligned} & (u^2 - v^2)[T_{i,j}(u), T_{k,l}(v)] \cdot (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)} \\ &= (u + v)(T_{k,j}(u)T_{i,l}(v) - T_{k,j}(v)T_{i,l}(u)) \\ & - (u - v)(T_{-k,j}(u)T_{-i,l}(v) - T_{-k,j}(v)T_{-i,l}(u)) \cdot (-1)^{p(k)+p(l)}, \end{aligned} \quad (3)$$

where v is a formal parameter independent of u , so that (3) is an equality in the algebra of formal Laurent series in u^{-1}, v^{-1} with coefficients in $Y(Q(n))$. We also have the relations

$$T_{i,j}(-u) = T_{-i,-j}(u). \quad (4)$$

Relations (3) and (4) are equivalent to the following defining relations:

$$\begin{aligned} & ([T_{i,j}^{(m+1)}, T_{k,l}^{(r-1)}] - [T_{i,j}^{(m-1)}, T_{k,l}^{(r+1)}]) \cdot (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)} = \\ & T_{k,j}^{(m)} T_{i,l}^{(r-1)} + T_{k,j}^{(m-1)} T_{i,l}^{(r)} - T_{k,j}^{(r-1)} T_{i,l}^{(m)} - T_{k,j}^{(r)} T_{i,l}^{(m-1)} \\ & + (-1)^{p(k)+p(l)} (-T_{-k,j}^{(m)} T_{-i,l}^{(r-1)} + T_{-k,j}^{(m-1)} T_{-i,l}^{(r)} + T_{k,-j}^{(r-1)} T_{i,-l}^{(m)} - T_{k,-j}^{(r)} T_{i,-l}^{(m-1)}), \end{aligned} \quad (3')$$

$$T_{-i,-j}^{(m)} = (-1)^m T_{i,j}^{(m)}, \quad (4')$$

where $m, r = 1, \dots$ and $T_{ij}^{(0)} = \delta_{ij}$.

Theorem 19.1. [PS2]. *There exists a surjective homomorphism:*

$$\varphi : Y(Q(1)) \longrightarrow W_{\mathcal{X}},$$

defined as follows:

$$\varphi(T_{1,1}^{(k)}) = (-1)^k \pi(e_{n,1}^{(n+k-1)}), \quad \varphi(T_{-1,1}^{(k)}) = (-1)^k \pi(f_{n,1}^{(n+k-1)}) \text{ for } k = 1, 2, \dots$$

20. The case of $\mathfrak{g} = \Gamma(\sigma_1, \sigma_2, \sigma_3)$

In this section, we describe the principal finite W -algebra for the exceptional Lie superalgebra $\Gamma(\sigma_1, \sigma_2, \sigma_3)$ in terms of generators and relations. We follow the construction of this Lie superalgebra given by M. Scheunert [Sch].

Let $\sigma_1, \sigma_2, \sigma_3$ be complex numbers such that $\sigma_1 + \sigma_2 + \sigma_3 = 0$. The family of Lie superalgebras $\Gamma(\sigma_1, \sigma_2, \sigma_3)$ is defined as follows:

$$\Gamma(\sigma_1, \sigma_2, \sigma_3) = \Gamma_{\bar{0}} \oplus \Gamma_{\bar{1}}, \text{ where}$$

$$\Gamma_{\bar{0}} = \mathfrak{sl}(2)_{\bar{1}} \oplus \mathfrak{sl}(2)_{\bar{2}} \oplus \mathfrak{sl}(2)_{\bar{3}}, \quad \Gamma_{\bar{1}} = V_1 \otimes V_2 \otimes V_3,$$

where V_i is the standard $\mathfrak{sl}(2)_i$ -module for $i = 1, 2, 3$.

Let $\mathfrak{sl}(2)_i = \langle X_i, H_i, Y_i \rangle$, where $\{X_i, H_i, Y_i\}$ is the standard basis in each $\mathfrak{sl}(2)_i$ for $i = 1, 2, 3$. Let $V_i = \langle e_i, f_i \rangle$ and let $P_i : V_i \times V_i \rightarrow \mathfrak{sl}(2)_i$ be the $\mathfrak{sl}(2)_i$ -invariant bilinear mapping given by

$$P_i(e_i, e_i) = 2X_i, \quad P_i(f_i, f_i) = -2Y_i, \quad P_i(e_i, f_i) = P_i(f_i, e_i) = -H_i.$$

Let ψ_i be a non-degenerate skew-symmetric form on V_i :

$$\psi_i(e_i, f_i) = -\psi_i(f_i, e_i) = 1.$$

Note that $[\Gamma_{\bar{0}}, \Gamma_{\bar{1}}]$ is the tensor product of the standard representations of $\mathfrak{sl}(2)_i$ in V_i , and $[\Gamma_{\bar{1}}, \Gamma_{\bar{1}}]$ is given by the formula

$$\begin{aligned} [x_1 \otimes x_2 \otimes x_3, y_1 \otimes y_2 \otimes y_3] &= \sigma_1 \psi_2(x_2, y_2) \psi_3(x_3, y_3) P_1(x_1, y_1) + \\ &\sigma_2 \psi_1(x_1, y_1) \psi_3(x_3, y_3) P_2(x_2, y_2) + \sigma_3 \psi_1(x_1, y_1) \psi_2(x_2, y_2) P_3(x_3, y_3), \end{aligned}$$

where $x_i, y_i \in V_i$, $i = 1, 2, 3$.

Remark 20.1. *The superalgebra $\Gamma(\sigma_1, \sigma_2, \sigma_3)$ is simple if and only if $\sigma_i \neq 0$ for $i = 1, 2, 3$. $\Gamma(\sigma_1, \sigma_2, \sigma_3) \cong \Gamma(\sigma'_1, \sigma'_2, \sigma'_3)$ if and only if the sets $\{\sigma'_i\}$ and $\{\sigma_i\}$ are obtained from each other by a permutation and multiplication of all elements of one set by a nonzero complex number (see [Sch]). Thus $\Gamma(\sigma_1, \sigma_2, \sigma_3)$ is a one-parameter family of deformations of $\mathfrak{osp}(4|2)$. Note that $\Gamma(1, -1 - \alpha, \alpha) \cong D(2, 1; \alpha)$, where $\alpha \neq 0, -1$ (see [K]).*

We consider the non-degenerate invariant symmetric bilinear form on \mathfrak{g} given as follows:

$$\begin{aligned} (X_i, Y_i) &= \frac{1}{\sigma_i}, \quad (H_i, H_i) = \frac{2}{\sigma_i}, \\ (e_1 \otimes e_2 \otimes e_3, f_1 \otimes f_2 \otimes f_3) &= -2, \quad (e_1 \otimes e_2 \otimes f_3, f_1 \otimes f_2 \otimes e_3) = 2, \\ (e_1 \otimes f_2 \otimes e_3, f_1 \otimes e_2 \otimes f_3) &= 2, \quad (f_1 \otimes e_2 \otimes e_3, e_1 \otimes f_2 \otimes f_3) = 2. \end{aligned}$$

Let $\mathfrak{sl}(2) = \langle e, h, f \rangle$, where

$$e = X_1 + X_2 + X_3, \quad h = H_1 + H_2 + H_3, \quad f = Y_1 + Y_2 + Y_3.$$

Then e is a regular nilpotent element, h defines a Dynkin \mathbb{Z} -grading of \mathfrak{g} :

$$\mathfrak{g} = \bigoplus_{j=-3}^3 \mathfrak{g}_j, \quad \text{where}$$

$$\begin{aligned} \mathfrak{g}_3 &= \langle e_1 \otimes e_2 \otimes e_3 \rangle, \quad \mathfrak{g}_2 = \langle X_1, X_2, X_3 \rangle, \\ \mathfrak{g}_1 &= \langle e_1 \otimes e_2 \otimes f_3, e_1 \otimes f_2 \otimes e_3, f_1 \otimes e_2 \otimes e_3 \rangle, \\ \mathfrak{g}_0 &= \langle H_1, H_2, H_3 \rangle, \quad \mathfrak{g}_{-1} = \langle e_1 \otimes f_2 \otimes f_3, f_1 \otimes e_2 \otimes f_3, e_1 \otimes e_2 \otimes f_3 \rangle, \\ \mathfrak{g}_{-2} &= \langle Y_1, Y_2, Y_3 \rangle, \quad \mathfrak{g}_{-3} = \langle f_1 \otimes f_2 \otimes f_3 \rangle. \end{aligned}$$

Note that $\dim(\mathfrak{g}^e) = (3|3)$. Explicitly,

$$(\mathfrak{g}^e)_{\bar{0}} = \langle X_1, X_2, X_3 \rangle,$$

$$(\mathfrak{g}^e)_{\bar{1}} = \langle e_1 \otimes f_2 \otimes e_3 - e_1 \otimes e_2 \otimes f_3, f_1 \otimes e_2 \otimes e_3 - e_1 \otimes e_2 \otimes f_3, e_1 \otimes e_2 \otimes e_3 \rangle.$$

In \mathfrak{g} we consider a nilpotent subalgebra $\mathfrak{m} = \mathfrak{g}_{-3} \oplus \mathfrak{g}_{-2} \oplus \mathfrak{l}$, where \mathfrak{l} is a one-dimensional Lagrangian subspace of \mathfrak{g}_{-1} : $\mathfrak{l} = \langle e_1 \otimes f_2 \otimes f_3 \rangle$. Note that \mathfrak{m} is generated by Y_1, Y_2, Y_3 and $e_1 \otimes f_2 \otimes f_3$. We have that

$$\chi(Y_i) = \frac{1}{\sigma_i}, \quad \chi(e_1 \otimes f_2 \otimes f_3) = 0.$$

Let $\theta = f_1 \otimes e_2 \otimes f_3 - f_1 \otimes f_2 \otimes e_3$. Then $\theta \in \mathfrak{g}_{-1} \cap \mathfrak{l}^\perp$, $\pi(\theta) \in W_\chi$, $\pi(\theta)^2 = -2$.

Even generators of W_χ are:

$$\begin{aligned} C_1 &= \pi(2X_1 + \sigma_1(\frac{1}{2}H_1^2 - H_1)), \\ C_2 &= \pi(2X_2 + \frac{1}{2}\sigma_2H_2^2 + (f_1 \otimes e_2 \otimes f_3)(e_1 \otimes e_2 \otimes f_3)), \\ C_3 &= \pi(2X_3 + \frac{1}{2}\sigma_3H_3^2 + (f_1 \otimes f_2 \otimes e_3)(e_1 \otimes f_2 \otimes e_3)). \end{aligned}$$

Odd generators of W_χ are

$$\begin{aligned} R_1 &= \pi(2(e_1 \otimes f_2 \otimes e_3 - e_1 \otimes e_2 \otimes f_3) + \sigma_1H_1(f_1 \otimes e_2 \otimes f_3 - f_1 \otimes f_2 \otimes e_3)), \\ R_2 &= \pi(2(f_1 \otimes e_2 \otimes e_3 - e_1 \otimes e_2 \otimes f_3) \\ &\quad + (\sigma_1H_1 - \sigma_3H_3)(f_1 \otimes e_2 \otimes f_3) - \sigma_2H_2(f_1 \otimes f_2 \otimes e_3)), \\ R_3 &= \pi(4(e_1 \otimes e_2 \otimes e_3) - \sigma_1H_1R_2 - 4\sigma_1(f_1 \otimes e_2 \otimes f_3)X_1 \\ &\quad - 2(\sigma_1H_1(e_1 \otimes e_2 \otimes f_3) + \sigma_2H_2(e_1 \otimes f_2 \otimes e_3) + \sigma_3H_3(e_1 \otimes e_2 \otimes f_3))), \end{aligned}$$

and $\pi(\theta)$. Note that the generators C_i and R_i for $i = 1, 2, 3$ can be identified with the corresponding elements of \mathfrak{g}^e under the mapping P (see Remark 8). The quadratic Casimir element of \mathfrak{g} is

$$\Omega = \sum_{i=1}^3 (\frac{\sigma_i}{2}H_i^2 + 2X_i) - (e_1 \otimes e_2 \otimes f_3)(f_1 \otimes f_2 \otimes e_3) - (e_1 \otimes f_2 \otimes e_3)(f_1 \otimes e_2 \otimes f_3).$$

Hence

$$\pi(\Omega) = C_1 + C_2 + C_3 - \frac{1}{2}R_1\pi(\theta).$$

Theorem 20.1. *[P]. The principal finite W -algebra W_χ is generated by even elements $\pi(\Omega)$, C_1 and C_2 , and odd element $\pi(\theta)$. The relations are*

$$\begin{aligned} [C_1, C_2] &= 0, \quad [\pi(\theta), C_i] = R_i \mp \frac{\sigma_i}{2}\pi(\theta), \quad i = 1, 2, \\ [C_2, R_1] &= -\frac{\sigma_2}{2}R_1 + R_3, \quad [C_1, R_2] = \frac{\sigma_1}{2}R_2 + R_3, \\ [R_i, R_i] &= 8\sigma_iC_i - 2\sigma_iR_i\pi(\theta), \quad i = 1, 2, \\ [R_1, R_2] &= -4(\sigma_1C_2 + \sigma_2C_1 + \sigma_3\pi(\Omega)) + (\sigma_1R_2 + \sigma_2R_1)\pi(\theta), \\ [R_i, \pi(\theta)] &= \mp 2\sigma_i, \quad i = 1, 2, \quad [\pi(\theta), \pi(\theta)] = -4, \\ [\pi(\Omega), \pi(\theta)] &= 0, \quad [\pi(\Omega), C_i] = 0, \quad i = 1, 2, \quad [\pi(\Omega), R_i] = 0, \quad i = 1, 2, 3. \end{aligned}$$

21. The case of $\mathfrak{g} = \mathfrak{osp}(1|2)$

In this section, we describe the principal finite W -algebra for $\mathfrak{osp}(1|2)$.

Form: $(a|b) = \frac{1}{2}\text{str}(ab)$

$\mathfrak{g} = \langle e, f, h \mid \theta, r \rangle$, where

$$e = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, f = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, h = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

$$\theta = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, r = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Note that e is a regular nilpotent element, and h defines a \mathbb{Z} -grading of \mathfrak{g} :

$$\mathfrak{g} = \mathfrak{g}_{-2} \oplus \mathfrak{g}_{-1} \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_1 \oplus \mathfrak{g}_2,$$

where

$$\mathfrak{g}_{-2} = \langle f \rangle, \quad \mathfrak{g}_{-1} = \langle \theta \rangle, \quad \mathfrak{g}_0 = \langle h \rangle, \quad \mathfrak{g}_1 = \langle r \rangle, \quad \mathfrak{g}_2 = \langle e \rangle.$$

$$\mathfrak{m} = \mathfrak{g}_{-2} = \langle f \rangle, \quad \chi(f) = -\frac{1}{2}.$$

$$\mathfrak{g}^e = \langle e|r \rangle, \quad \dim \mathfrak{g}^e = (1|1).$$

Note that $\pi(\theta) \in W_\chi$, and $\pi(\theta)^2 = \frac{1}{2}$.

Even generator of W_χ is $\pi(\Omega)$, where $\Omega = 2e + h - h^2 + 2r\theta$ is the Casimir element of \mathfrak{g} .

Odd generators of W_χ are $R = \pi(r - h\theta)$ and $\pi(\theta)$.

Note that generators $\pi(\Omega)$ and R are identified with elements of \mathfrak{g}^e :

$$\frac{1}{2}\pi(\Omega) \xrightarrow{P} e,$$

$$R \xrightarrow{P} r.$$

Theorem 21.1. *The principal finite W -algebra W_χ is generated by $\pi(\Omega)$ and two odd generators: $\pi(\theta)$ and R . The defining relations are*

$$\begin{aligned} [\pi(\Omega), R] &= [\pi(\Omega), \pi(\theta)] = 0, \\ [R, R] &= \pi(\Omega), \quad [R, \pi(\theta)] = -\frac{1}{2}, \quad [\pi(\theta), \pi(\theta)] = 1. \end{aligned}$$

22. The case of $\mathfrak{g} = \mathfrak{osp}(1|2n)$

In this section, we present partial results for the principal finite W -algebra for $\mathfrak{osp}(1|2n)$, where $n \geq 2$, and make a conjecture for this case.

Form: $(a|b) = -\text{str}(ab)$.

We will use the following notations for some elementary matrices in $\mathfrak{osp}(1|2n)$:

$$\begin{pmatrix} 0 & s_1 & s_2 & \dots & \mathbf{s}_n & r_1 & r_2 & \dots & r_n \\ r_1 & h_1 & x_1 & \dots & \dots & p_1 & \dots & \dots & \dots \\ \dots & y_1 & h_2 & x_2 & \dots & \dots & p_2 & \dots & \dots \\ \dots & \dots & \dots & \dots & x_{n-1} & \dots & \dots & \dots & \dots \\ r_n & \dots & \dots & y_{n-1} & h_n & \dots & \dots & \dots & p_n \\ \hline s_1 & q_1 & \dots & \dots & \dots & h_1 & y_1 & 0 & 0 \\ \dots & \dots & q_2 & \dots & \dots & x_1 & h_2 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & y_{n-1} \\ \mathbf{s}_n & \dots & \dots & \dots & q_n & 0 & 0 & x_{n-1} & h_n \end{pmatrix}.$$

Let $\mathfrak{sl}(2) = \langle e, h, f \rangle$, where $e = (x_1 + \dots x_{n-1}) + p_n$,
 $h = \text{diag}(0|2n-1, 2n-3, \dots, 3, 1; -2n+1, -2n+3, \dots, -3, -1)$,
 $f = (\sum_{k=1}^{n-1} k(2n-k)y_k) + n^2 q_n$.

Note that e is a regular nilpotent element, and h defines a Dynkin \mathbb{Z} -grading of \mathfrak{g} whose degrees on the elementary matrices are

$$\begin{pmatrix} 0 & -2n+1 & \dots & -3 & -1 & 2n-1 & \dots & 3 & 1 \\ 2n-1 & 0 & 2 & \dots & \dots & 4n-2 & \dots & 2n+2 & 2n \\ \dots & -2 & 0 & 2 & \dots & 4n-4 & \dots & 2n & 2n-2 \\ 3 & \dots & \dots & 0 & 2 & \dots & \dots & 6 & 4 \\ 1 & \dots & -4 & -2 & 0 & 2n & \dots & 4 & 2 \\ \hline -2n+1 & -4n+2 & \dots & -2n-2 & -2n & 0 & -2 & 0 & 0 \\ \dots & -4n+4 & \dots & -2n & -2n+2 & 2 & 0 & -2 & 0 \\ -3 & \dots & \dots & -6 & -4 & \dots & \dots & 0 & -2 \\ -1 & -2n & \dots & -4 & -2 & \dots & \dots & 2 & 0 \end{pmatrix}.$$

Note that $\dim \mathfrak{g}^e = (n|1)$, $(\mathfrak{g}^e)_{\bar{1}} = \langle r_1 \rangle$, $\mathfrak{g}_{-1} = \langle \theta \rangle$, where $\theta = s_n$, $\dim \mathfrak{g}_{-1} = 1$.
 Note that $\mathfrak{m} = \bigoplus_{j \leq -2} \mathfrak{g}_j$, and $\mathfrak{g}_{-2} = \langle y_i, q_n \rangle$, $i = 1, \dots, n-1$.
 \mathfrak{m} is generated by y_i, q_n , $\chi(y_i) = 2$, for $i = 1, \dots, n-1$, and $\chi(q_n) = 1$.
 $\pi(\theta) \in W_\chi$, $\pi(\theta)^2 = -1$.

Conjecture 22.1. [P]. The principal finite W -algebra W_χ is generated by the first n Casimir elements in $\pi(Z(\mathfrak{g}))$ and odd elements $\pi(\theta)$ and R , where R is induced

by r_1 so that

$$[R, R] \in \pi(Z(\mathfrak{g})), \quad [R, \pi(\theta)] \in \pi(Z(\mathfrak{g})), \quad [\pi(\theta), \pi(\theta)] = -2.$$

Acknowledgments

The author would like to thank V. Serganova for very helpful discussions.

23. References

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